

# Solving complex problems together - Activism as challenge and opportunity for collaborative governance

Maija Faehnle Finnish Environment Institute SYKE

Science and Activism, Joensuu 20 January 2019



# Activism for infuencing policy on unemployment



# Activism for tackling social problems



# **Activism for tackling biodiversity loss**



#### Kaupunkisissit ja omatoiminen luonnonhoito

Suomen nykyisten kaupunkien ympäristö ei ylläpidä luonnon monimuotoisuutta kaikkien mahdollisuuksiensa mukaan. Rakennettujen alueiden lomaan jää vyöhykkeitä, joiden ympäristöä voisi kehittää monimuotoisemmaksi. Myös rakennettujen viheralueiden kuten puistojen luonto voisi olla nykyistä monipuolisempaa.

# Activism for tackling climate change



About CAN Policy Campaigns Media CAN in Action ECO Members' Center

#### **Implementing Low Carbon Development**

#### Implementing Low Carbon Development

Providing sustainable development for all and fighting climate change – these are two major challenges the world faces today.



National action on climate change and the international negotiations are interlinked and mutually reinforcing. The international negotiations in the past five years have stimulated national action especially on 'Low Carbon Development' meaning development with minimal output of greenhouse gas emissions.

If the full social costs and benefits are taken into account, in most cases low carbon development trumps carbon-

#### **Happening now**

PRESS RELEASE: Message from the Board of Directors of Climate Action Network International

PRESS RELEASE: COP24: Countries struggle to muster political will to tackle climate crisis

PRESS RELEASE: Draft Text still a mixed bag in final critical hours of negotiations

#### **Events**

Bonn intersessional SB50 June 2019

Jun 17, 2019 to Jun 28, 2019

COP 24 Katowice 2 December to 14 December

Dec 2, 2018 to Dec 14, 2018

Climate Vulnerable Forum Virtual Summit

#### Informal activism

Peer support groups for people with difficult life situations

Making change by conrete DIY action

Guerrilla meadows by a dedicated NGO









Informal short-term protest

Influencing through political opinion forming and decision-making

Established NGO network aiming to influence international negotiations

Institutionalised civic action



# **Activism**

- Self-organised action driven by willingness to make the common living environment, services, community or society better, or protect them from harmful change
- Voluntary or funded action, for the common good, not for profit





## **Continuum of activism**















Driving – Contributing – Supporting – Not active – Disliking – Contributing – Driving to counteraction

www.flaticon.com/packs/humans-2

Diversity of terms: some regard themselves as activists, others rather as change makers, developers...

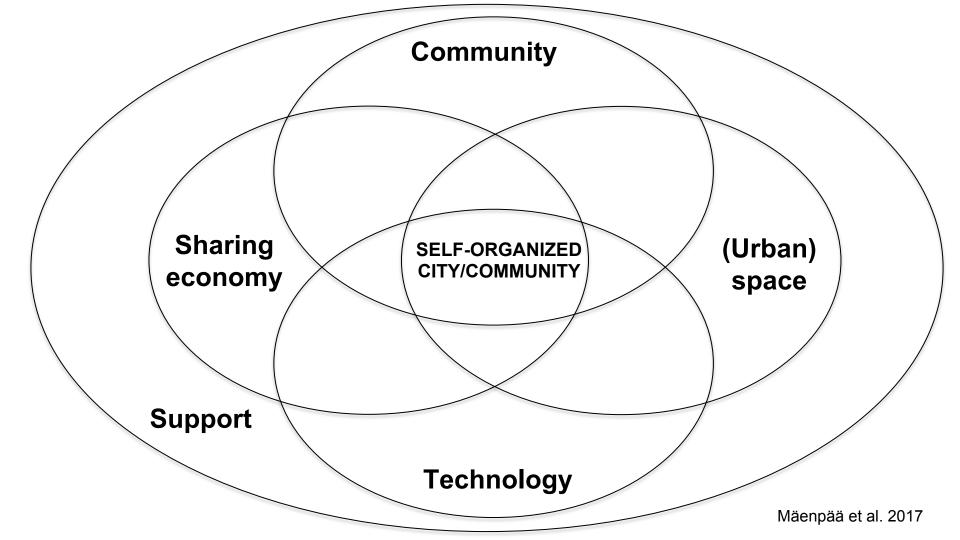


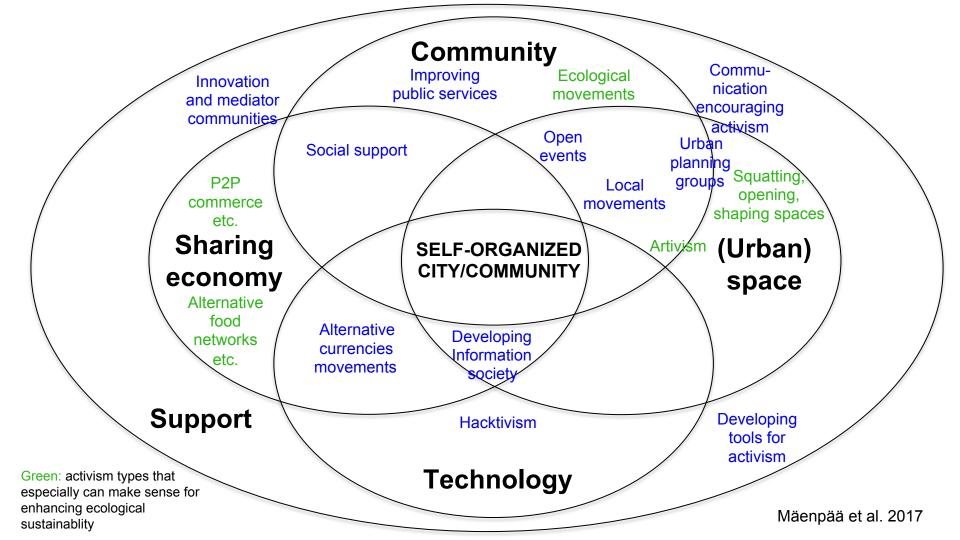


# The civil society has changed

- Digitalization has brought people multiple opportunities to start developing their societies directly by themselves
   Internet + social media → actor networks
- Collaborative consumption, sharing
- Disappointment with traditional channels for influencing









## Fourth sector on the rise

- NGOs (the third sector) still important, but nowadays much of all civic action organized in other ways
- Fourth sector:
  - non-NGO-based civic actors
  - networks of which such actors form a considerable part
  - type of action that can be adopted also on other sectors: action based on internet, openness of knowledge, cocreation, network governance

Mäenpää & Faehnle, forthcoming



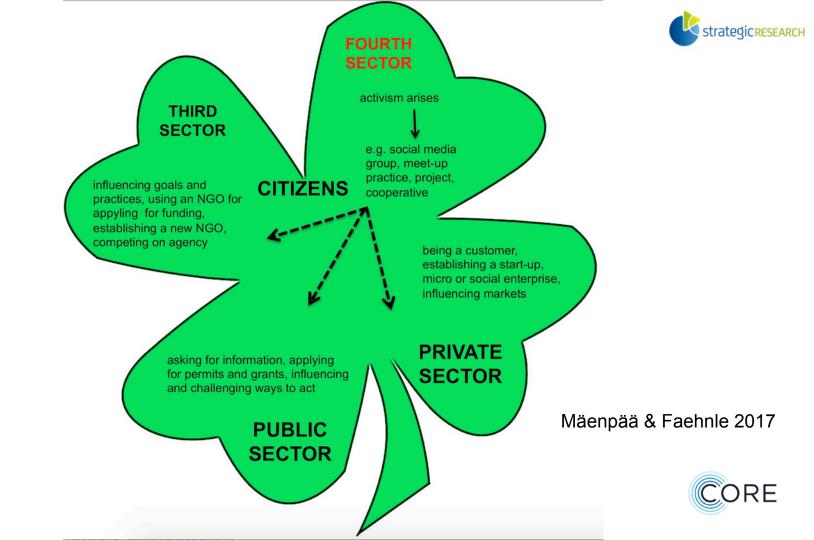


## Fourth sector civic activism

- Self-organized, proactive and constructive co-action, typically outside of formal NGOs
- Primarily DIY-action instead of orienting towards decision making system or political engagement
  - 'Let's just do it!' attitude (Pulkkinen 2014)
- Based on networking in social media and internet solutions

Mäenpää & Faehnle, forthcoming Mäenpää & Faehnle 2017



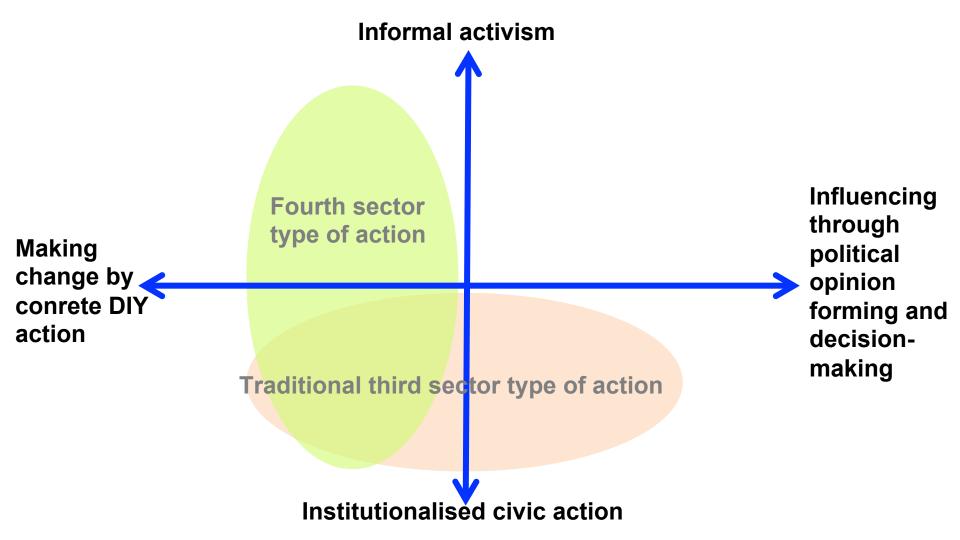




# Concepts used in discussing civic action outside of traditional organisations

peer to peer networks, pop up action, self-organisation, DIY action, commons based peer-production, social innovation, grassroots action, urban movements, community action, social entrepreneurship, sharing economy, collaborative economy, maker movement, placemaking, tactical urbanism, do-democracy, prosumerism, impact movement





# **Types of civic action - characteristics**

Fourth sector type of action	Traditional NGO action
Organisation: for example a social media gro	oup only Organisation: NGO
Social media essential	Social media as extra
Influencing: hacker attitude	Influencing through official planning and decision-making
Events, action, DIY	Meetings, statements
Sense of community	Power to influence
Networking, enterprises etc.	Partnership with municipality
Openness, sharing	Representativeness
Visibility	Continuity
Momentariness	Controlled development
Avoiding hierarchies	Hierarchy
Drive to act, creation of new	Doing because that's what's done in the past
Proactivity, YIMBY	Also counter-action, NIMBY

Mäenpää & Faehnle, forthcoming



To contribute effectively to resolution of complex societal problems, public governments need a repertory of approaches to problem solving. Competence and capacities to collaborate are crucial – as is the ability choose an approach that is appropriate in the particular situation.





# The necessity of collaboration

Complex societal problems can only be solved by collaboration of diverse actors because the actors are interdependent

- Nobody has all the necessary knowledge
- Nobody can make the change alone

In true collaboration, the parties acknowledge that the solution can only be created together

Turner & Odell, Collaborative Public Manager Training 2018-2019





## **Collaboration**

- Two or more entities working together for mutual benefit
- Two types of collaboration
  - Agreement seeking /decision-making (aligning interests)
  - Collective action (aligning actions and resources)
- Collaboration involves exchange among the parties
  - Exchange of data, information, coordinated actions and timing, commitments to take a specific action or not, resources, political support

Turner & Odell, Collaborative Public Manager Training 2018-2019





# **Collaborative governance**

"the processes and structures of public policy decision making and management that engage people constructively across the boundaries of public agencies, levels of government, and/or the public, private and civic spheres in order to carry out a public purpose that could not otherwise be accomplished"

Emerson et al. 2012





# **Collaborative governance enables**

- interest articulation, learning, reflection
- value co-creation
- innovative and efficient solutions
- minimizing of destructive conflicts

Bohman 1999, Forester 1999, Hajer & Wagenaar 2003, Rydin & Walleth 2006, Lepak et al. 2007, Ansell & Gash 2008





# **Examples of collaborative practices**



Soiden käyttöä pohtinut kansalaisraati antoi kannanottonsa! Kiitos raatilaisille hyvistä näkökulmista, tasapuolisesta keskustelusta ja ennen kaikkea hyvästä evästyksestä kaavan jatkotyöstöön.

epliitto.fi/ajankohtaista/ ... #epliitto #kansalaisraati #vaihemaakuntakaava3



# Citizens' jury

in regional planning in South Ostrobothnia





# **Examples of collaborative practices**



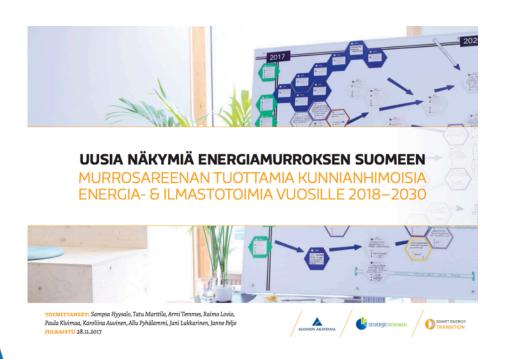
Joint fact finding, consensus building

in Jyväskylä Forest Programme





# **Examples of collaborative practices**



#### **Transition arena**

Energy transition arena by Smart Energy Transition project





To contribute effectively to resolution of complex societal problems, public governments need a repertoire of approaches to problem solving. Competence and capacities to collaborate are crucial – as is the ability choose an approach that is appropriate in the particular situation.





#### SPECTRUM OF PROCESSES FOR COLLABORATION AND CONSENSUS-BUILDING IN PUBLIC DECISIONS<sup>1</sup>

	EXPLORE/INFORM	CONSULT	ADVISE	DECIDE	IMPLEMENT
Outcomes <sup>2</sup>	Improved understanding of issues, process, etc.     Lists of concerns     Information needs identified     Explore differing perspectives     Build relationships	Comments on draft policies     Suggestions for approaches     Priority concerns/issues     Discussion of options     Call for action	Consensus or majority recommendations, on options, proposals or actions, often directed to public entities	Consensus-based agreements among agencies and constituent groups on policies, lawsuits or rules	Multi-party agreements to implement collaborative action and strategic plans
Sample Processes	Focus Groups     Conferences     Open houses     Dialogues     Roundtable Discussions     Forums     Summits	Public meetings     Workshops     Charettes     Town Hall Meetings (w & w/o deliberative polls)     Community Visioning     Scoping meetings     Public Hearings     Dialogues	Advisory Committees     Task Forces     Citizen Advisory Boards     Work Groups     Policy Dialogues     Visioning Processes	Regulatory Negotiation     Negotiated settlement of lawsuits, permits, cleanup plans, etc.     Consensus meetings     Mediated negotiations	Collaborative Planning processes     Partnerships for Action     Strategic Planning Committees     Implementation Committees

Source: USIECR

Current "participatory" practice

Emergent collaborative practice

CORE project: Facilitating the collaboratie turn in Finland, beyond participation-as-consultation





# Fourth sector activism as opportunity

Resources for and practical advances towards more sustainable societies – often in line with strategic goals of local governments

- Collaborative capacity of the involved actors
- Expertise, spirit, (rapid) action potential
- Creation, use and improvement of data
- Public discussion, learning
- New creative solutions on services, environment, economy
- Local identity and attractiveness





# Fourth sector challenges the government

- To continue involving citizens in governmental activities but also adopt ways to participate in actions of civic networks
- Seek ways to empower the less active and support the distribution of benefits from activisms also to them – while supporting and not restricting the active





# Fourth sector challenges the government

#### Fourth sector actors may not

- Seek decision-making power, but apply their own power based on inhabiting their area
- Seek partnerships or even form a legal entity
- Act in the rhythms of the government, but immediately





# **Hybrid governing**

- Earlier research
  - Hybrid organisation (Billis 2010)
  - Hybrid government (Heinonen & Ruotsalainen 2017)
- In hybrid governing, attention
  - from the system, decision-making power and contracts also to interaction processes
  - to aligning and bringing together actors, ways of action and processes that are different and operate by different action logics





Collaborative governing enacted as part of the wider frame of hybrid governing

Collaborative governing

Hybrid governing



## Hybrid governing

#### The government

- identifies fourth sector as specific group of actors
- seeks ways to align and match governmental activities with actions of the fourth sector, by experimenting and learning



Mäenpää & Faehnle 2018

FIGURE 3.

Development of the relationship between activisms and city administration, and the levels of co-operation in hybrid governance.

# Stairs of hybrid governing

From isloated, uncoordinated activities (step 1) up to deep integration (step 8)

What's the best step? Depends on the issue and case!



Mäenpää & Faehnle 2018

FIGURE 3.

Development of the relationship between activisms and city administration, and the levels of co-operation in hybrid governance.

#### Problem: Planning for urbanisation

Fourth sector solution: dedicated social media groups, alternative planning

#### **City planning**

- 8 Open co-planning projects are organised
- 7 Alternative plans presented to the city planning committee
- 6 Co-production of planning materials
- 5 Support services for alternative planning
- 4 Officeholders take part in the discussion in activists' social media groups
- **3** Communication about the source materials used by planners
- 2 Monitoring of city planning activists' social media groups
- 1 No preparation for alternative plans

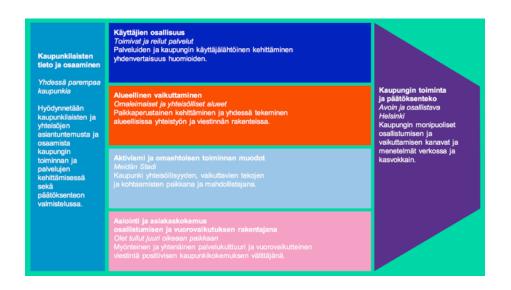
Problem: access to healthy local food

Fourth sector solution: food networks such as REKO

#### **REKO producer-to-consumer networks for food distribution**

- 8 REKO is part of administration's food services
- 7 REKO cooperation as part of administration's participation network
- 6 Joint project for coordinating REKO logistics
- 5 Statistics compiled about REKO networks
- 4 Annual event for REKO administrators
- Administration facilitates the finding of premises and applying for permits
- 2 REKOs as a modern-day market square concept in terms of local food strategy
- REKO distribution organised (e.g. in school car parks) without administration being aware

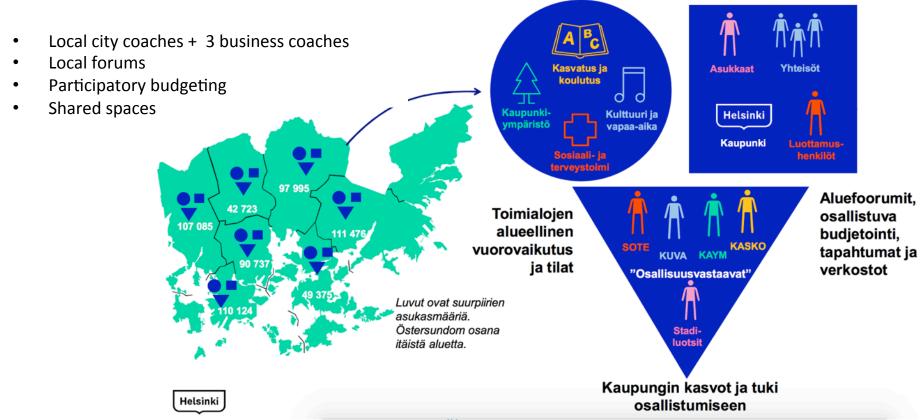
# Grounds for hybrid governing: Case Helsinki's participation model



Principles of participation in binding administrative regulations:

- Utilisation of know-how and expertise of individuals and communities
- Enabling spontaneous activities
- Creation of equal opportunities for participation

Helsinki participation model - Local participation





# Take home message

Public governments can contribute to resolution of complex societal problems best when they are prepared to

- Act in a truly collaborative relationship with diverse actors, when conditions for collaboration exist
- Develop a repertory of approaches and apply them depending on the case – as partner, participant or enabler

Action research, activist researchers as resource!



# CORE — Collaborative remedies for fragmented societies — Facilitating the collaborative turn in environmental decision-making



- Collaborative governance Rauno Sairinen, UEF
- Knowledge practices Heli Saarikoski, SYKE
- Civil society

   Tapio Litmanen, JyU
- Regulation Ismo Pölönen, UEF
- Value co-creation Nina Helander, TTY
   & Pia Polsa, Hanken
- Case studies Taru Peltola, SYKE















# **CORE** in a nutshell

- The CORE consortium will address the ability of Finnish political and legal institutions and management practices to cope with complex environmental planning and policy-making problems.
- **Key question**: How to engage a broad range of societal actors in the collaborative co-production of fair, efficient, legitimate and wise solutions for contested environmental and natural resource policy problems?
- Funding: Strategic Research Council 2017-2021



## **Welcome onboard!**



collaboration.fi

#corestn

#yhteishallinta

#strateginentutkimus

#cogovernance



@core\_STN



@collaborationfi



Project: CORE - Collaborative remedies for fragmented societies - Facilitating the collaborative turn in environmental decision--making





# Project: Civic activism as resource for the metropolis





# University of Helsinki, Department of Social Research 2015–2017

- How does civic activism contribute to the development of cities?
   How could cities and state organizations utilise and support it?
- Focus on ecological sustainability, local innovations, local communities and civic engagement
- Advocative action research: working together with activists and authorities by identifying and solving their problems

Partners: Cities of Helsinki, Espoo, Vantaa, and Lahti; Ministries of Environment, Finance, and Justice; The Association of Finnish Local and Regional Authorities, The Housing Finance and Development Centre of Finland, Finnish Environment Institute

**Funding**: Helsinki Metropolitan Region Urban Research Program, The Housing Finance and Development Centre of Finland, The Finnish Cultural Foundation/Uusimaa Regional fund, The Fund of Heikki von Hertzen, The Finnish Association of Non-fiction Writers, Ministry of Environment, Ministry of Finance, SITRA

# **Welcome onboard!**

Civicactivism.fi

Facebook group: Kaupunkiaktivismi

#kaupunkiaktivismi



Q

Suomi In English Kort på svenska

Home
Browse by theme
Case stories
Glossary and tools
Who is doing what
Research
Insights
Action!

#### Maija Faehnle

firstname.surname@environment.fi firstname.surname@gmail.com @maija f

#### Pasi Mäenpää

firstname.surname@helsinki.fi@pasiamaenpaa

### **Publications on activism**

- Mäenpää, P. & Faehnle, M. Neljäs sektori: kuinka vertaistoiminta haastaa hallinnon, muuttaa markkinat ja uudisrakentaa yhteiskunnan (työnimi). Book based on the Urban civic activism project. Forthcoming.
- Mäenpää P. & Faehnle, M. (2017): Itseorganisoituva kaupunki: hallinta, talous ja demokratia. Futura 4/17.
- Mäenpää, P., Faehnle, M. & Schulman, H. 2017. Kaupunkiaktivismi, jakamistalous ja neljäs sektori. Teoksessa Kansalaiset kaupunkia kehittämässä (toim. Bäcklund, Häkli & Schulman). Tampere University Press.
- Mäenpää, P. & Faehnle, M. 2017. Civic activism as a resource for cities. Helsinki Quarterly 1/2017, 68-81.
- Mäenpää, P. & Faehnle, M. 2018. Urban civic activism: solutions for the governance of a selforganising urban community. Helsinki Quarterly 2/2018, 38-45.
- Rantanen, A. & Faehnle, M. 2017. Self-organisation challenging institutional planning: towards a new urban research and planning paradigm a Finnish review. The Finnish Journal of Urban Studies 55:3.
- Faehnle, M., Mäenpää, P., Blomberg, J., Schulman, H. 2017. Civic engagement 3.0 –
   Reconsidering the roles of citizens in city-making. The Finnish Journal of Urban Studies 55:3.

More: http://www.kaupunkiaktivismi.fi/en/node/99/publications

